

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/751,820 Confirmation No. 3634  
Inventor: : Douglas S. McNair  
Examiner : Tran N. Nguyen  
Filed : 01/05/2004  
Title : SYSTEM AND METHOD FOR RISK-ADJUSTING INDICATORS OF  
ACCESS AND UTILIZATION BASED ON METRICS OF DISTANCE  
AND TIME  
Atty. Docket No. : CRNI.103792  
Customer No. : 46169

**Via EFS – Web Submission – July 10, 2007**

Mail Stop IDS  
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Alexandria, VA 22313-1450

**37 C.F.R. § 1.97 IDS SUBMISSION**

Examiner Nguyen:

The attached Information Disclosure Statement is submitted pursuant to 37 C.F.R. § 1.97. Because the IDS is being submitted after the First Office Action in the above-noted matter but prior to a Final Office Action or Notice of Allowance, a fee has also been submitted per 37 C.F.R. § 1.17(p). *See* MPEP § 609.01.

Date: July 10, 2007

Respectfully submitted,

/Abran J. Kean/

Abran J. Kean  
Reg. No. 58,540

AJKX  
SHOOK HARDY & BACON, LLP  
2555 Grand Blvd.  
Kansas City, Missouri 64108-2613  
(816) 474-6550

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/751,820
<i>(Use as many sheets as necessary)</i>				Filing Date	01/05/2004
				First Named Inventor	Douglas S. McNair
				Art Unit	
				Examiner Name	Tran N. Nguyen
Sheet	1	of	2	Attorney Docket Number	CRNI.103792

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	1	ANDERSON TW, Darling DA; Asymptotic theory of certain goodness of fit criteria based on stochastic processes; Ann Math Stat; 1952;23:193:212			
	2	BINDMAN AB, et al. Preventable hospitalizations and access to health care, JAMA, 1995;274(4):305-11			
	3	BOX GEP, COX DR, An analysis of transformations, J Roy Stat Soc, Ser B., 1964;26:211-52			
	4	IEZZONI LI, et al. Judging hospitals by severity-adjusted mortality rates: the influence of the severity-adjustment method, Am J Public Health, 1996;86(10):1379-87			
	5	IEZZONI LI, The risks of risk adjustment, JAMA, 1997;278(19):1600-7.			
	6	IEZZONI LI, ed., Risk Adjustment for Measuring Healthcare Outcomes. 2e., Washington: AHSR Press, 1997			
	7	MILLMAN M, ed. Committee on Monitoring Access to Personal Health Care Services, Washington: IOM National Academy Press, 1993			
	8	ROSENTHAL GE, et al., A regional evaluation of variation in low-severity hospital admissions, J Gen Intern Med, 1997;12(7):416-22			
	9	SILVER MP, et al., Ambulatory care sensitive hospitalization rates in the aged medicare population: a rural-urban comparison, J Rural Health, 1997;13(4):285-94			
	10	SNEDECOR GW, et al., Statistical Methods, 8e., Ames:Iowa Press, 1989, p. 293-5			

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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				<b>Art Unit</b>	
				<b>Examiner Name</b>	Tran N. Nguyen
Sheet	2	of	2	<b>Attorney Docket Number</b> CRNI.103792	

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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